# ORIGINAL



## BEFORE THE ARIZONA CORPORATION (

1	BEFORE THE ARIZONA CORPORATION (				
2	MIKE GLEASON – Chairman	CEIVED			
3 4	WILLIAM A. MUNDELL JEFF HATCH-MILLER KRISTIN K. MAYES	R 12 P 1: 40			
5	GARY PIERCE	RP COMMISSION MENT CONTROL			
6	ARIZONA WATER COMPANY, an Arizona corporation,	) DOCKET NO. W-01445A-06-0200 SW-20445A-06-0200			
8	Complainant, vs.	) W-20446A-06-0200 ) W-03576A-06-0200 ) SW-03575A-06-0200			
110 111 112 113 114 115 116 117 118	GLOBAL WATER RESOURCES, LLC, a foreign limited liability company; GLOBAL WATER RESOURCES, INC., a Delaware corporation; GLOBAL WATER MANAGEMENT, LLC, a foreign limited liability company; SANTA CRUZ WATER COMPANY, LLC, an Arizona limited liability corporation; PALO VERDE UTILITIES COMPANY, LLC, an Arizona limited liability corporation; GLOBAL WATER – SANTA CRUZ WATER COMPANY, an Arizona corporation; GLOBAL WATER – PALO VERDE UTILITIES COMPANY, an Arizona corporation; JOHN AND JANE DOES 1-20; ABC ENTITIES I – XX,	) ) ) ) ) NOTICE OF ERRATA ) ) ) ) ) ) ) ) ) ) )			
19	The Decree 1 and (and leading law WClabelly) f	iles a convert the Acquisition Schedule for the			
20	Francisco Grande and CP acquisition as Exhibit 1	iles a copy of the Acquisition Schedule for the			
21	the Response to Motion For Order to Show Cause				
22	RESPECTFULLY SUBMITTED this 12 <sup>th</sup> of				
23		EWULF & PATTEN, PLC			
24 25		1 20 12			
26		thy J. Sabo ael W. Patten			
27	MAR 1 2 2007 One A 400 E	Arizona Center Sast Van Buren Street, Suite 800 hix, Arizona 85004			

	1	Original and 21 copies of the foregoing filed this 12 <sup>th</sup> day of March 2007 with:
	2	Docket Control
	3.	Arizona Corporation Commission 1200 West Washington Street
	4	Phoenix, Arizona 85007
	5	Copy of the foregoing hand-delivered/mailed this 12 <sup>th</sup> day of March 2007 to:
	6	Dwight D. Nodes, Esq.
	7	Assistant Chief Administrative Law Judge Hearing Division
	8	Arizona Corporation Commission 1200 West Washington
		Phoenix, Arizona 85007
	9	Christopher C. Kempley, Esq.
	10	Chief Counsel, Legal Division
	11	Arizona Corporation Commission 1200 West Washington
	12	Phoenix, Arizona 85007
5-6100 800	13	Ernest G. Johnson, Esq.
502-25 2-256-6		Director, Utilities Division Arizona Corporation Commission
TELEPHONE NO 602-256-6100 FACSIMILE 602-256-6800	14	1200 West Washington Phoenix, Arizona 85007
CSIM	15	
TELE	16	Robert W. Geake, Esq Arizona Water Company
	17	3805 North Black Canyon Highway Phoenix, Arizona 85015
	18	Steven A. Hirsch, Esq.
	19	Rodney W. Ott, Esq. Bryan Cave LLP
	20	Two North Central Avenue, Suite 2200 Phoenix, Arizona 85004
	21	Mayor Chuck Walton
	22	City of Casa Grande 510 Florence Blvd.
	23	Casa Grande, AZ 85222
	24	By Selfre Amare
	25	
	26	

ROSHKA DEWULF & PATTEN, PLC
ONE ARIZONA CENTER
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PHOENIX, ARIZONA 85004
TELEPHONE NO 602-256-6100
FACSIMILE 602-256-6800 

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## BEFORE THE ARIZONA CORPORATION COMMISSION

		RECEIVED		
2	COMMISSIONERS	7007		
3	Jeff Hatch-Miller, Chairman	2007 JAN 16 ₱ 1: 46		
4	William A. Mundell	AZ CORP COMMISSION		
5	Mike Gleason Kristin K. Mayes	DOCUMENT CONTROL		
6	Gary Pierce			
7.				
8	IN THE MATTER OF THE APPLICATION OF	Docket No. SW-03575A-04-0767		
9	PALO VERDE UTILITIES COMPANY FOR AN EXTENSION OF ITS EXISTING CERTIFICATE			
	OF CONVENIENCE AND NECESSITY.			
10		Docket No. W-03576A-04-0767		
11	IN THE MATTER OF THE APPLICATION OF SANTA CRUZ WATER COMPANY FOR AN			
12	EXTENSION OF ITS EXISTING CERTIFICATE OF CONVENIENCE AND NECESSITY.			
13				
14	NOTICE OF FILING IN C DECISION N			
15	(Acquisition Se			
16	Global Water Resources LLC in complia	nce with Decision No. 67830 in the above-		
17	captioned dockets, submits the attached Acquisition			
18				
l	RESPECTFULLY submitted this / day	of January 2007.		
19	Roshka DeWulf & Patten, PLC			
20		·		
21	<i>(</i>	and lan		
22	By $\underbrace{1}_{Mi}$	chael W. Patten		
23		nothy J. Sabo		
24	One	e Arizona Center		
		D East Van Buren Street, Suite 800 oenix, Arizona 85004		
25		50tti, 1 tt 2011		
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Certain compliance requirements for Decision No. 67240 were carried over to, and incorporated in, Decision No. 67830. See Decision No. 67830 at 11-12. Therefore, in order to avoid duplicate filings, Palo Verde Utilities Company and Santa Cruz Water Company are filing the compliance items in these dockets, but not the dockets underlying Decision No. 67240 (SW-03575A-03-0586 and W-03576A-03-0586).

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1	11 Original . 13 copies of the folegonia
2	filed this /64 day of January 2007, with:
3	Docket Control ARIZONA CORPORATION COMMISSION
4	D
5	Phoenix, Arizona 85007
6	Copies of the foregoing hand-delivered/mailed this /64 day of January 2007, to:
7	
8	Dwight Nodes, Esq. Administrative Law Judge
9	Hearing Division Arizona Corporation Commission
10	1200 West Washington Phoenix, Arizona 85007
11	David Ronald, Esq.
12	Legal Division Arizona Corporation Commission
13	1200 West Washington Phoenix, Arizona 85007
14	Ernest G. Johnson, Esq.
15	Director, Utilities Division Arizona Corporation Commission
16	1200 West Washington Phoenix, Arizona 85007
17	Brian Bozzo
18	Utilities Division Arizona Corporation Commission
19	1200 West Washington Phoenix, Arizona 85007
20	$\mathcal{A}$
21	May Appolits
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### Attachment B

### **Acquisition Schedule**

This Acquisition Schedule shall be prepared pursuant to the Settlement Conditions contained in Arizona Corporation Commission Dockets No. SW-03575A-03-0568 and No. W-03576A-03-0568.

### PART 1

Describe below each investment in, or acquisition of, any utility made by Global Water Resources, LLC during the six month period ending on the date this document is executed.

Francisco Grande Utility Company (Water & Wastewater) Dec 2006	
CP Water Company - December 2006	
The above two entities were purchased by Global Water, Inc., a wholly owned subsidiary of	
Global Water Resources, LLC.	

### PART 2

As of 30 Nov 2006, the capital structures of Palo Verde Utilities Company (PVUC) and Santa Cruz Water Company (SCWC) and any other GWR acquired utility are as follows:

	PVUC		SCWC	
	Amount	%	Amount	%
Long-Term Debt*	\$0	0%	\$0	0%
Equity**	\$54,222,568	100%	\$33,314,426	100%
	\$54,222,568	100%	\$33,314,426	100%
	Cave Creek Water Co		Water Utility of Greater Buckeye	
	Amount	%		
Long-Term Debt*	\$0	0%	\$80,001	34%
Equity**	\$3,603,355	100%	\$155,187	66%
	\$3,603,355	100%	\$235,188	100%
	Valencia Water	Co	Material Military of Country Towns of	
	Amount %		Water Utility of Greater Tonopah	
Long-Term Debt*	\$133,911	7%	\$294,192	76%
Equity**	\$1,914,699	93%	\$94,827	24%
	\$2,048,610	100%	\$389,019	100%
	Willow Valley Water Co		Water Utility of North Scottsdale	
	Amount	%	Traici Cimiy Oritora.	ooksaac
Long-Term Debt*	\$484,929	85%	\$0	0%
Equity**	\$85,821	15%	(\$38,599)	100%
3	\$570,750	100%	(\$38,599)	100%
	Hassayampa Utility Co			
•	Amount	%		
Long-Term Debt*	\$0	0%	· · · · · · · · · · · · · · · · · · ·	
Equity**	\$319,572	100%		
•	\$319,572	100%		
-				

<sup>\*</sup>Include current portion of Long-term Debt.

The undersigned also confirm that at no time during the last six months did the equity ratios (as calculated above) of PVUC and SCWC fall below 40%.

WE THE UNDERSIGNED <u>TREVOR HILL</u> AND <u>LEO COMMANDEUR</u>, DO SAY THAT THE ABOVE INFORMATION HAS BEEN PREPARED UNDER OUR DIRECTION AND WE HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID COMPANIES FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH & EVERY MATTER AND THING SET FORTH, TO THE BEST OF OUR KNOWLEDGE, INFORMATION AND BELIEF.

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Signature of owner or official/date

<sup>\*\*</sup>Includes Common Stock, Paid In Capital and Returned Earnings (Deficit).